

Risk Assessment Deliverables:

- Risk Assessment
- Recommended Control Objectives
- Prioritized List of Identified Risks within functional Business Area
- Initial set of Control Activities to mitigate Identified Risks
- Assessment of Quality of Existing Process Documentation as it relates to the requirements of the COSO framework
- Road Map for Recommended Next Steps

Why Visage:

- Organized in 2003, focused entirely on risk management and regulatory compliance issues, including Sarbanes-Oxley, Information Security, Business Continuity, SAS-70.
- Provides senior level personnel with extensive business experience to provide effective business processes without introducing another level of compliance complexity.
- Utilizes the proprietary SingleVUE™ Methodology that has been developed over numerous successful projects.
- Reduces the overall cost and risk of the compliance process as a result of people and processes being utilized more effectively.
- Allows management to increase focus on the growth of the business rather than on the details of the compliance process.

because there is no substitute for EXPERIENCE

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Enterprise
Risk
Management
Solutions



Mission Statement:

Building upon our ethical principles, we provide comprehensive compliance and risk management solutions, to allow our clients to focus on their core business.

Enterprise Risk Management (ERM) Solutions:

New regulations are being imposed in an already complex environment. Corporate boards and executives are being held to a higher standard each and every day. Even the most conscientious executives cannot possibly be prepared for each and every thing that can go wrong, or can they?

- How does a business meet the challenge of implementing COSO's Enterprise Risk Management solution?
- How much overlap is there between SOX and ERM requirements?
- How can I take advantage of the SOX materials I have developed thus far?
- How can I determine if this is right for my business without investing significant time and resources
- How do I minimize the costs?
- How much effort will it take?
- How much disruptions will there be to day-to-day operations?
- How do I minimize that disruption?

Visage Solutions, LLC addresses each of these issues by combining the strengths of its proprietary, four-phased process **SingleVue™** with its **OpsAudit™** methodology that evaluates the business in the ten fundamental risk areas related to operational risk and performance excellence. This process helps Visages' clients proactively identify and manage operations risks.

The Principal Objectives of OpsAudit™

- Provide operational information to support improved corporate governance
- Confirm corporate financial facts underpinning Section 302 Certifications, Management Discussion and Analysis (MD&A), and public disclosures
- Identify potential disconnects and discontinuities in corporate processes and reporting mechanisms which compromise internal controls, disclosures and results
- Clarify operational and business risks in the TenRiskSM areas.
- Develop an independent, auxiliary channel for information flow to the Board of Directors and management

TenRiskSM Areas

Business Operations Risk - Does the company "mind the fundamentals" and proactively improve its station over time?

Personnel and Staffing Risk - Is your organization fully mobilized and committed to company success?

Asset Management Risk - Is your asset custodianship up to par?

Information Systems Risk - Do your information systems represent a competitive advantage for your company?

Communication Risk - Does the organization know how to communicate for success?

Security Risk - Are your safety and security practices adequately protecting your personnel, assets and information?

Management Risk - Are your managers true "leaders" for your organization and its goals?

Supply Chain Risk - Is your company at risk for waste or business interruption via its supply and distribution channels?

Ethical Risk - Does your company emphasize ethical practices, or is it at risk because of ethics lapses?

Reputational Risk - Does reputation serve or hurt the company, its directors, management and staff?